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MIT.8288CON  
PATENT

*AF/1200*  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Andrew Y. Kim

Serial No.: 10/023,047

Group No.: 1765

Filed: 12/13/2001

Examiner: Robert M. Kunemund

For: METHOD OF PRODUCING DEVICE QUALITY (AL)InGaP ALLOYS ON LATTICE-MISMATCHED SUBSTRATES

Mail Stop Notice of Appeal -Patents

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

NOTICE OF APPEAL FROM THE PRIMARY EXAMINER TO THE  
BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant hereby appeals to the Board from the decision of the Primary Examiner mailed on June 17, 2003, finally rejecting claims 1-56.

The item(s) checked below are appropriate:

1. STATUS OF APPLICANT

This application is on behalf of

☐ other than a small entity

☒ small entity

2. FEE FOR FILING NOTICE OF APPEAL

Pursuant to 37 CFR 1.17(b) the fee for filing the Notice of Appeal is:

☒ small entity \$165.00

☐ other than a small entity \$300.00

Notice of Appeal fee due \$

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Mail Stop Notice of Appeal-Patents, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date:

12 / 17 / 2003

Emily C. Porell

(type or print name of person mailing paper)

*Emily C. Porell*  
(Signature of person mailing paper)

12/22/2003 SZWDIE1 00000139 10023047

01 FC:2401  
02 FC:2253

165.00 OP  
475.00 OP

### 3. EXTENSION OF TERM

NOTE: The time periods set forth in 37 CFR 1.191 are subject to the provision of § 1.136 for patent applications 37 CFR 1.191(d). (But see 37 CFR 1.645 for extension of time in interference proceedings and 37 CFR 1.550(c) for extension of time in reexamination proceedings).

(complete (a) or (b) as applicable)

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- (a) X Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d)) for the total number of months checked below:

	Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
—	one month	\$110.00	\$55.00
—	two months	\$420.00	\$210.00
<u>X</u>	three months	\$950.00	\$475.00
—	four months	\$1,480.00	\$740.00
			Fee <u>\$475.00</u>

If an additional extension of time is required please consider this a petition therefor.

(check and complete the next item, if applicable)

- An extension for \_\_\_\_ months has already been secured and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.  
Extension fee due with this request \$

or

- (b) — Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### 4. TOTAL FEE DUE

The total fee due is:

Notice of Appeal fee \$ 165.00

Extension fee (if any) \$ 475.00

TOTAL FEE DUE \$

### 5. FEE PAYMENT

X Attached is a check in the sum of \$ 640.00.

— Charge Account No. \_\_\_\_ the sum of \$\_\_\_\_\_.

A duplicate of this transmittal is attached.

6. FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O.G. 31-33.

X If any additional extension and/or fee is required charge Account No. 19-0079.

AND/OR

X If any additional fee for claims is required, charge Account No. 19-0079.

Pitts J. Del. Reg. No. 47,257

SIGNATURE OF ATTORNEY

Reg. No.: 33,298

Matthew E. Connors

*type or print name of attorney*

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